AGENDA ITEM or FINAL CONTRACT REVIEW SUBMITTAL FORM

AgendaTitle:
Public Hearing; Colorado Fermented Malt Beverage (3.2% Beer) License Application; Roberts Corp. d/b/a Harmel's Ranch Resort

Action Requested: Other Approve new license

Parties to the Agreement: Robert Corps dba Harmel's Ranch Resort & Gunnison County

Term Begins: **Term Ends:** **Grant Contract #:**

Summary:
Liquor License - Robert Corp dba Harmel's Ranch Resort; 3.2 at the store.

Fiscal Impact: n/a

Submitted by: B. Lucero for S. Dominguez **Submitter's Email Address:** sdominguez@gunnisoncounty.org

Finance Review: (●) Required ( ) Not Required

Comments:

Reviewed by: Bcowan Discharge Date: 6/5/2013

County Attorney Review: (●) Required ( ) Not Required

Comments:

ok db 6/5/13

Reviewed by: DBaumgarten Discharge Date: 6/5/2013 Certificate of Insurance Required Yes ( ) No (●)

County Manager Review:

Comments:

Reviewed by: MBirnie Discharge Date: 6/5/2013

( ) Consent Agenda (●) Regular Agenda ( ) Worksession Time Allotted: 5 minutes

Agenda Date: 6/18/2013 Follow Up Agenda Date: N/A

Revised April 2013

DR 9403 (03/19/09)
COLORADO DEPARTMENT OF REVENUE
LIQUOR ENFORCEMENT DIVISION
1375 SHERMAN STREET
DENVER CO 80261

received
5-16-13

21

COLORADO FERMENTED MALT BEVERAGE (3.2% BEER) LICENSE APPLICATION

☒ NEW LICENSE ☐ TRANSFER OF OWNERSHIP ☐ LICENSE RENEWAL

- ALL ANSWERS MUST BE PRINTED IN BLACK INK OR TYPEWRITTEN
- APPLICANT MUST CHECK THE APPROPRIATE BOX(ES)
- LOCAL LICENSE FEE $ _____
- APPLICANT SHOULD OBTAIN A COPY OF THE COLORADO LIQUOR AND BEER CODE (Call 303-370-2165)

DO NOT WRITE IN THIS SPACE

1. Applicant is applying as a

☒ Corporation ☐ Partnership (includes Limited Liability and Husband and Wife Partnerships)
☐ Individual ☐ Limited Liability Company ☐ Association or Other

2. Applicant(s) If an LLC, name of LLC; if partnership, at least 2 partners' names; if corporation, name of corporation: Roberts Corp dba Harmel's Ranch Resort
Fein Number: 84-088-5400

2a. Trade Name of Establishment (DBA): Harmel's Ranch Resort
State Sales Tax No.: 05-23020-0000
Business Telephone: 970-641-1740

3. Address of Premises (specify exact location of premises): 6748 CR 742
City: Almont
County: Gunnison
State: Co.
ZIP Code: 81210

4. Mailing Address (Number and Street): Box 399
City or Town: Almont
State: CO
ZIP Code: 81210

5. If the premises currently have a liquor or beer license, you MUST answer the following questions:

Present Trade Name of Establishment (DBA)	Present State License No.	Present Class of License	Present Expiration Date

LIAB	SECTION A NONREFUNDABLE APPLICATION FEES	
2300 ☐	Application Fee for New License	$ 1,025.00
2302 ☒	Application Fee for New License - w/Concurrent Review	$ 1,125.00
2310 ☐	Application Fee for Transfer	$ 1,025.00

LIAB	SECTION B 3.2% BEER LICENSE FEES	
2121 ☐	Retail 3.2% Beer On-Premises (City)	$ 96.25
2124 ☒	Retail 3.2% Beer On-Premises (County)	$ 117.50
2122 ☐	Retail 3.2% Beer Off-Premises (City)	$ 96.25
2125 ☐	Retail 3.2% Beer Off-Premises (County)	$ 117.50
2123 ☐	Retail 3.2% Beer On/Off Premises (City)	$ 96.25
2126 ☐	Retail 3.2% Beer On/Off Premises (County)	$ 117.50
2370 ☐	Master File Location Fee ..... $ 25.00 x _____	Total _____
2375 ☐	Master File Background ..... $ 250.00 x _____	Total _____

DO NOT WRITE IN THIS SPACE - FOR DEPARTMENT OF REVENUE USE ONLY

LIABILITY INFORMATION

County	City	Industry Type	License Account Number	Liability Date FROM	License Issued Through (Expiration Date) TO

State	City	County
-750 (999)	2180-100 (999)	2190-100 (999)

Cash Fund New License	Cash Fund Transfer License
2300-100 (999)	2310-100 (999)

TOTAL

6. Is the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager under the age of twenty-one years? Yes ☐ No ☒

7. Has the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager ever (in Colorado or any other state); Yes No
   (a) been denied an alcohol beverage license? ☐ ☒
   (b) had an alcohol beverage license suspended or revoked? ☐ ☒
   (c) had interest in another entity that had an alcohol beverage license suspended or revoked? ☐ ☒
   If you answered yes to 7a, b or c, explain in detail on a separate sheet

8. Has a 3 2 beer license for the premises to be licensed been denied within the preceding one year? If "yes," explain in detail Yes ☐ No ☒

9. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership, members or manager if a limited liability company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current or former financial interest in said business including any loans to or from a licensee. See personal history Yes ☑ No ☐

10. Does the Applicant, as listed on line 2 of this application, have legal possession of the premises by virtue of ownership, lease or other arrangement? Yes ☒ No ☐
    ☒ Ownership ☒ Lease ☐ Other (Explain in Detail) Own 50% of Brothers Estate LLC & Roberts Corp

a. If leased, list name of landlord and tenant, and date of expiration, EXACTLY as they appear on the lease

Landlord	Tenant	Expires
Brothers Estate LLC	Roberts' Corporation	2022

Attach a diagram and outline or designate the area to be licensed (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" X 11". (Doesn't have to be to scale)

11. Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies), will loan or give money, inventory, furniture or equipment to or for use in this business; or who will receive money from this business. Attach a separate sheet if necessary.

Name	Date of Birth	FEIN or SSN	Interest
W. C. Roberts	12-20-22	448-18-6425	Board Member

Attach copies of all notes and security instruments, and any written agreement, or details of any oral agreement. by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit. sales. giving of advice or consultation.

12. **Name of Manager for all on and on/off applicants.** Steve Roberts — Date of Birth 2-23-51

13. Does this manager act as the manager of, or have a financial interest in, any other liquor licensed establishment in the State of Colorado? If yes, provide name, type of license and account number Yes ☐ No ☒

14. **Tax Distraint Information.** Does the applicant or any other person listed on this application and including its partners, officers, directors, stockholders, members (LLC) or managing members (LLC) and any other persons with a 10% or greater financial interest in the applicant currently have an outstanding tax distraint issued to them by the Colorado Department of Revenue? Yes ☐ No ☒
    If yes, provide an explanation and include copies of any payment agreements.

15. If applicant is a corporation, partnership, association or a limited liability company, applicant **must list** ALL OFFICERS, DIRECTORS, GENERAL PARTNERS AND MANAGING MEMBERS. In addition applicant **must list** any stockholders, partners, or members with OWNERSHIP OF 10% OR MORE IN THE APPLICANT. ALL PERSONS LISTED BELOW must also attach form DR 8404-I (Individual History Record), and submit finger print cards to their local licensing authority.

Name	Home Address, City & State	Date of Birth	Position	% Owned*
Wm B. Roberts	6748 CR742 Gilmont	2-14-48	Owner	50
Steven S. Roberts	"	2-23-51	Owner	50

☐ * If total ownership percentage disclosed here does not total 100%, applicant must check this box
Applicant affirms that no individual, other than those disclosed herein, owns 10% or more of the applicant

Additional Documents to be submitted by type of entity

☑ **CORPORATION** ☐ Cert. of Incorp ☑ Cert. of Good Standing (if more than 2 yrs. old) ☐ Cert. of Auth. (if a foreign corp.)
☐ **PARTNERSHIP** ☐ Partnership Agreement (General or Limited) ☐ Husband and Wife partnership (no written agreement)
☐ **LIMITED LIABILITY COMPANY** ☐ Articles of Organization ☐ Cert. of Authority (if foreign company) ☐ Operating Agrmt
☐ **ASSOCIATION OR OTHER** Attach copy of agreements creating association or relationship between the parties

Registered Agent (if applicable)	Address for Service

OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.

Authorized Signature	Title	Date
Steven Roberts	Owner	5-16-13

REPORT AND APPROVAL OF LOCAL LICENSING AUTHORITY (CITY/COUNTY)

Date application filed with local authority	Date of local authority hearing (for new license applicants; cannot be less than 30 days from date of application 12-47-311 (1)) C.R.S.
May 14, 2013	June 18, 2013

THE LOCAL LICENSING AUTHORITY HEREBY AFFIRMS:

That each person required to file DR 8404-I (Individual History Record) has	Yes	No
☒ Been fingerprinted	☒	☐
☒ Been subject to background investigation, including NCIC/CCIC check for outstanding warrants	☒	☐
That the local authority has conducted, or intends to conduct, an inspection of the proposed premises to ensure that the applicant is in compliance with, and aware of, liquor code provisions affecting their class of license	☐	☐

(Check One)
☐ Date of Inspection or Anticipated Date _____
☒ Upon approval of state licensing authority

The foregoing application has been examined; and the premises, business to be conducted, and character of the applicant are satisfactory. We do report that such license, if granted, will meet the reasonable requirements of the neighborhood and the desires of the adult inhabitants, and will comply with the provisions of Title 12, Article 46 or 47, C.R.S. **THEREFORE, THIS APPLICATION IS APPROVED.**

Local Licensing Authority for	Telephone Number	☐ TOWN CITY ☐ COUNTY
Signature	Title	Date
Signature (attest)	Title	Date

LR 8404-I (07/25/11)
COLORADO DEPARTMENT OF REVENUE
LIQUOR ENFORCEMENT DIVISION
1881 PIERCE STREET RM 108A
DENVER CO 80261

INDIVIDUAL HISTORY RECORD

To be completed by the following persons, as applicable: sole proprietors; general partners regardless of percentage ownership, and limited partners owning 10% or more of the partnership; all principal officers of a corporation, all directors of a corporation, and any stockholder of a corporation owning 10% or more of the outstanding stock; managing members or officers of a limited liability company, and members owning 10% or more of the company; and any intended registered manager of Hotel and Restaurant or Tavern class of retail license.

NOTICE: This individual history record requires information that is necessary for the licensing investigation or inquiry. **All** questions must be answered in their entirety or the license application may be delayed or denied. If a question is not applicable, please indicate so by "N/A". **Any deliberate misrepresentation or material omission may jeopardize the license application.**

1. Name of Business
Roberts Corporation dba Harmel's Ranch Resort

2. Your Full Name (last, first, middle)
Roberts, Steven Scott

3. List any other names you have used.

4. Mailing address (if different from residence)

5. List current residence address. Include any previous addresses within the last five years (attach separate sheet if necessary).

	STREET AND NUMBER	CITY, STATE, ZIP	FROM	TO
Current	6748 CR 742	Almont, Co. 81210	08-1997	current
Previous				

6. List all employment within the last five years. Include any self employment. (Attach separate sheet if necessary)

NAME OF EMPLOYER OR BUSINESS	ADDRESS (STREET, NUMBER, CITY, STATE, ZIP)	POSITION HELD	FROM	TO
Roberts ~~Corp~~ Corporation	6748 CR742 Almont Co 81210	owner	3-1-02	now

7. List the name(s) of relatives working in or holding a financial interest in the Colorado alcohol beverage industry.

NAME OF RELATIVE	RELATIONSHIP TO YOU	POSITION HELD	NAME OF LICENSEE
N/A			

8. Have you ever applied for, held, or had an interest in a Colorado Liquor or Beer License, or loaned money, furniture, fixtures, equipment or inventory to any licensee? If yes, answer in detail.
☒ Yes ☐ No

We have held both a 3.2% Colo. Fermented Malt & a Colo. Liquor lic. since the 1970's. Last year I misplaced or misfiled the renewal forms & just forgot to renew.

9. Have you ever received a violation notice, suspension, or revocation for a liquor law violation, or have you applied for or been denied a liquor or beer license anywhere in the United States? If yes, explain in detail.
☐ Yes ☒ No

10. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? (If yes, explain in detail.)
☐ Yes ☒ No

11. Are you currently under probation (supervised or unsupervised), parole, or completing the requirements of a deferred sentence? (if yes, explain in detail.)
☐ Yes ☒ No

12. Have you ever had any professional license suspended, revoked, or denied? (If yes, explain in detail.)
☐ Yes ☒ No

PERSONAL AND FINANCIAL INFORMATION

Unless otherwise provided by law, the personal information required in question #13 will be treated as confidential.
The personal information required in question #13 is solely for identification purposes.

13a. Date of Birth	b. Social Security Number SSN	c. Place of Birth	d. U.S. Citizen?
2-23-51	524-80-1074	Oklahoma City.	☒ Yes ☐ No

e. If Naturalized, State where	f. When	g. Name of District Court

h. Naturalization Certificate Number	i. Date of Certification	j. If an Alien, Give Alien's Registration Card Number	k. Permanent Residence Card Number

l. Height	m. Weight	n. Hair Color	o. Eye Color	p. Sex	q. Race	r. Do you have a current Driver's License? If so, give number and state
5-9¾	160	BRN	Blue	M	C	☒ Yes ☐ No 92-195-4059 Colo.

14. Financial Information.

a. Total purchase price $ —(if buying an existing business) or investment being made by the applying entity, corporation, partnership, limited liability company, other $ —

b. List the total amount of your investment in this business including any notes, loans, cash, services or equipment, operating capital, stock purchases or fees paid $

c. **Provide details of the Investment described in 14.b.** You must account for all of the sources of this investment. Attach a separate sheet if needed.

Type: Cash, Services or Equipment	Source	Amount
Bank of the West	Checking Acct.	—

d. Loan Information (attach copies of all notes or loans)

Name of Lender	Address	Term	Security	Amount
Commerce Savings & Loan	303 N. Main St	2yrs	LLC land & personal guarantee	200,000. open credit line

Oath of Applicant

I declare under penalty of perjury that this application and all attachments are true, correct, and complete to the best of my knowledge.

Authorized Signature	Title	Date
Steve Roberts	Owner	5-16-13

LR 8404-I (07/25/11)
COLORADO DEPARTMENT OF REVENUE
LIQUOR ENFORCEMENT DIVISION
1881 PIERCE STREET RM 108A
DENVER CO 80261

INDIVIDUAL HISTORY RECORD

To be completed by the following persons, as applicable: sole proprietors; general partners regardless of percentage ownership, and limited partners owning 10% or more of the partnership; all principal officers of a corporation, all directors of a corporation, and any stockholder of a corporation owning 10% or more of the outstanding stock; managing members or officers of a limited liability company, and members owning 10% or more of the company; and any intended registered manager of Hotel and Restaurant or Tavern class of retail license.

NOTICE: This individual history record requires information that is necessary for the licensing investigation or inquiry. **All** questions must be answered in their entirety or the license application may be delayed or denied. If a question is not applicable, please indicate so by "N/A". **Any deliberate misrepresentation or material omission may jeopardize the license application.**

1. Name of Business
Roberts Corporation

2. Your Full Name (last, first, middle)
Roberts William Bradley

3. List any other names you have used.

4. Mailing address (if different from residence)

5. List current residence address. Include any previous addresses within the last five years (attach separate sheet if necessary).

	STREET AND NUMBER	CITY, STATE, ZIP	FROM	TO
Current	6748 CR 742	Almont, Co. 81210	10-1997	current
Previous				

6. List all employment within the last five years. Include any self employment. (Attach separate sheet if necessary)

NAME OF EMPLOYER OR BUSINESS	ADDRESS (STREET, NUMBER, CITY, STATE, ZIP)	POSITION HELD	FROM	TO
Roberts Corporation	6748 CR 742 Almont, Co. 81210	owner	3-15-02	New

7. List the name(s) of relatives working in or holding a financial interest in the Colorado alcohol beverage industry.

NAME OF RELATIVE	RELATIONSHIP TO YOU	POSITION HELD	NAME OF LICENSEE
N/A			

8. Have you ever applied for, held, or had an interest in a Colorado Liquor or Beer License, or loaned money, furniture, fixtures, equipment or inventory to any licensee? If yes, answer in detail.
☒ Yes ☐ No

Since 1998 when I first take role in active management, we carried a 3.2% Liquor lic. My brother the general mgr. lost the ~~[illegible]~~ renewal applications and just forgot to renew.

9. Have you ever received a violation notice, suspension, or revocation for a liquor law violation, or have you applied for or been denied a liquor or beer license anywhere in the United States? If yes, explain in detail.
☐ Yes ☒ No

10. Have you ever been convicted of a crime or received a suspended sentence, deferred sentence, or forfeited bail for any offense in criminal or military court or do you have any charges pending? (If yes, explain in detail.)
☐ Yes ☑ No

11. Are you currently under probation (supervised or unsupervised), parole, or completing the requirements of a deferred sentence? (if yes, explain in detail.)
☐ Yes ☑ No

12. Have you ever had any professional license suspended, revoked, or denied? (If yes, explain in detail.)
☐ Yes ☑ No

PERSONAL AND FINANCIAL INFORMATION

Unless otherwise provided by law, the personal information required in question #13 will be treated as confidential.
The personal information required in question #13 is solely for identification purposes.

13a. Date of Birth	b. Social Security Number SSN	c. Place of Birth	d. U.S. Citizen?
2-14-48	430-42-7458	Norman, OK	☑ Yes ☐ No

e. If Naturalized, State where	f. When	g. Name of District Court

h. Naturalization Certificate Number	i. Date of Certification	j. If an Alien, Give Alien's Registration Card Number	k. Permanent Residence Card Number

l. Height	m. Weight	n. Hair Color	o. Eye Color	p. Sex	q. Race	r. Do you have a current Driver's License? If so, give number and state
5-6	160	Brn	Blue	M	C	☐ Yes ☒ No

14. Financial Information

a. Total purchase price $_____ (if buying an existing business) or investment being made by the applying entity, corporation, partnership, limited liability company, other $_____

b. List the total amount of your investment in this business including any notes, loans, cash, services or equipment, operating capital, stock purchases or fees paid $_____

c. **Provide details of the Investment described in 14.b.** You must account for all of the sources of this investment. Attach a separate sheet if needed.

Type: Cash, Services or Equipment	Source	Amount
Bank of the West	Checking Acct	—

d. **Loan Information (attach copies of all notes or loans)**

Name of Lender	Address	Term	Security	Amount
Guaranty S&L	303 N. Main St	2 yr	LLC Land	200,000 open line
			personal Guarantees	

Oath of Applicant

I declare under penalty of perjury that this application and all attachments are true, correct, and complete to the best of my knowledge.

Authorized Signature	Title	Date
[signature]	Owner	5/16/13